

**REMARKS**

**I. Status of the Application**

By the present Amendment, Applicants are amending claims 1, 16, 17, and 23. No new matter is added. Claims 1-17, 21, and 23 are all the claims currently pending in the application. Claims 1-17, 21, and 23 have been rejected. The present Amendment addresses each point of rejection raised by the Examiner. Favorable reconsideration is respectfully requested.

**II. Claim Rejections Under 35 U.S.C. § 103(a)**

Claims 1-3, 6, and 17 stand rejected under 35 U.S.C. § 103(a) as allegedly being unpatentable over “Low Threshold Current GaInAsP/InP DFB Laser,” IEEE J. Quantum Electron., Vol. QE-23, No. 6, June 1987, pp. 828-834 to Itaya et al. (hereinafter “Itaya”) in view of U.S. Publication No. 2003/0091080 to Aoyagi et al. (hereinafter “Aoyagi”). Claims 4 and 5 stand rejected under 35 U.S.C. § 103(a) as allegedly being unpatentable over Itaya and Aoyagi in view of U.S. Patent No. 4,740,987 to McCall, Jr. et al. (hereinafter “McCall”). Claims 7-15 and 21 stand rejected under 35 U.S.C. § 103(a) as allegedly being unpatentable over Itaya and Aoyagi in view of U.S. Publication No. 2003/0021319 to Aoki et al. (hereinafter “Aoki”). Claims 16 and 23 stand rejected under 35 U.S.C. § 103(a) as allegedly being unpatentable over Itaya and Aoyagi in view of U.S. Patent No. 5,610,930 to Macomber et al. (hereinafter “Macomber”). Applicants respectfully traverse these grounds of rejection.

Claim 1 recites a distributed-feedback (DFB) semiconductor laser wherein a combination of the coupling coefficient  $\kappa$  of the diffraction grating and the length  $L$  of the active region provides a  $\Delta\alpha/g_{th}$  of 1 or more. Claim 1 defines  $\Delta\alpha$  as a gain difference between modes, and  $g_{th}$  as a threshold gain.

As the Examiner acknowledges, Itaya fails to teach or suggest that a combination of the coupling coefficient  $\kappa$  of the diffraction grating and the length  $L$  of the active region provides a  $\Delta\alpha/g_{th}$  of 1 or more. However, the Examiner asserts that Aoyagi discloses this feature, and that it would have been obvious to a person of ordinary skill in the art to modify the DFB laser of Itaya such that  $\Delta\alpha/g_{th}$  is 1 or more, in order to achieve a stable single axial mode. Applicants respectfully disagree.

Aoyagi is directed to a DFB laser (§ [0036]). In the second embodiment of Aoyagi, the following relationships are satisfied:  $L \leq 260 \mu\text{m}$  and  $7 \text{ cm}^{-1} \leq \alpha_{th} \leq 51 \text{ cm}^{-1}$ , where  $\alpha_{th}$  is the power threshold gain per unit length (§§ [0044] and [0060]). Also, Aoyagi discloses that the threshold power gain difference  $\Delta\alpha_{th} \cdot L$  between the principal axis mode and the sub-axis mode must satisfy  $\Delta\alpha_{th} \cdot L \geq 1.0$  (§ [0052]). In rejecting claim 1, the Examiner assumes that the cavity length  $L$  is  $100 \mu\text{m}$ , which implies that  $\Delta\alpha_{th} \geq 100 \text{ cm}^{-1}$ . The Examiner then calculates the ratio of  $\Delta\alpha_{th}/\alpha_{th}$  to be greater than 1, because  $7 \text{ cm}^{-1} \leq \alpha_{th} \leq 51 \text{ cm}^{-1}$ .

Based on the above description, it appears that the Examiner assumes that  $\alpha_{th}$  (the power threshold gain per unit length) of Aoyagi corresponds to the claimed threshold gain  $g_{th}$ .

Applicants respectfully disagree. Paragraph [0019] of the present specification defines the threshold gain  $g_{th}$  as the sum of an internal loss  $\alpha_i$  and a mirror loss  $\alpha_m$ . However, as explained below, Applicants submit that  $\alpha_{th}$  of Aoyagi corresponds to the mirror loss  $\alpha_m$  of the present specification, not the claimed threshold gain  $g_{th}$ . In order to further clarify the claimed invention, Applicants are amending independent claims 1, 16, 17, and 23 to recite that “the threshold gain  $g_{th}$  is the sum of an internal loss and a mirror loss.”

Aoyagi discloses that a single-sided slope efficiency  $\eta_s$  is given by Equation 2 shown in paragraph [0053]:  $\eta_s = \left( \frac{0.62}{\lambda_p} \right) \cdot \eta_i \cdot \left( \frac{\alpha_{th}}{\alpha_i + \alpha_{th}} \right)$ . As a person of ordinary skill in the art understands, the single-sided slope efficiency  $\eta_s$  is proportional to the term  $\left( \frac{\alpha_m}{\alpha_i + \alpha_m} \right)$ , where  $\alpha_m$  is the mirror loss and  $\alpha_i$  is the internal loss. This definition is supported by the disclosure of the attached document, published in the SEI Technical Review, No. 169, pp. 21-26, July 2006, in which the slope efficiency SE is defined as  $SE \propto \eta_i \cdot \left( \frac{\alpha_m}{\alpha_i + \alpha_m} \right)$  (lines 3-15; also see English translation of this passage). Based on a comparison of Equation 2 of Aoyagi and the slope efficiency SE as defined in the attached document, it is clear that  $\alpha_{th}$  of Aoyagi corresponds to the mirror loss  $\alpha_m$ , not the threshold gain  $g_{th}$ .

Further, as explained in paragraph [0038] of the present specification, the parameters  $\Delta\alpha$  (the mirror loss difference between the basic mode and an adjacent mode) and  $\Delta\alpha \cdot L$  have been used to evaluate the single-mode stability of DFB lasers. Similarly, Aoyagi discloses that in order to achieve a stable single axial mode,  $\Delta\alpha_{th} \cdot L$  must be greater than or equal to 1.0 (§ [0052]). However, the parameter  $\Delta\alpha \cdot L$  cannot be used to evaluate DFB lasers in which the resonator is designed to be very short, as in the present invention (§§ [0038] and [0039] of the present specification). Instead, the Applicants derived  $\Delta\alpha/g_{th}$  as an indicator for evaluating the single-mode stability of DFB lasers with a very short resonator (§ [0040] of the present specification). Aoyagi does not teach or suggest the use of  $\Delta\alpha/g_{th}$  as an indicator, or that  $\Delta\alpha/g_{th}$  is greater than or equal to 1, as recited in claim 1.

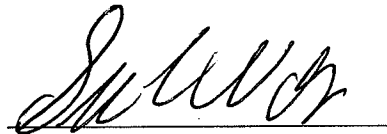
Applicants submit that claim 1 is patentable over Itaya and Aoyagi at least by virtue of the aforementioned differences, as well as its additionally recited features. Claims 2, 6, and 17 are patentable over Itaya and Aoyagi at least by virtue of their dependencies on claim 1, as well as their additionally recited features. Further, Applicants submit that McCall, Aoki, and Macomber each fail to remedy the deficiencies of Itaya and Aoyagi. Therefore, claims 4, 5, 7-16, 21, and 23 are patentable over Itaya, Aoyagi, McCall, Aoki, and Macomber for at least the reasons discussed above, as well as their additionally recited features.

### III. Conclusion

In view of the above, reconsideration and allowance of this application are now believed to be in order, and such actions are hereby solicited. If any points remain in issue which the Examiner feels may be best resolved through a personal or telephone interview, the Examiner is kindly requested to contact the undersigned at the telephone number listed below.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,



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